



**STATE OF WASHINGTON  
DEPARTMENT OF FINANCIAL INSTITUTIONS**

**SECURITIES DIVISION**

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**Notice of Adoption of Rules Regarding Securities Registration Renewal Applications**

**November 30, 2015**

As you are on our interested persons list, we are writing to inform you that the Securities Division has adopted new rules to codify the filing requirements for securities registration renewal applications. The Securities Division frequently receives inquiries from securities issuers and attorneys asking how to apply for renewal. The new rules provide this information.

The Rule-Making Order (Form CR-103) and the text of the Final Rules are available on our website at <http://dfi.wa.gov/rulemaking/securities-registration-renewal-requirements>.

If you have any questions regarding the rulemaking, please contact Jill Vallely by telephone at (360) 902-8801 or by e-mail at [jill.vallely@dfi.wa.gov](mailto:jill.vallely@dfi.wa.gov).