



**STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS**

SECURITIES DIVISION

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**Notice of Proposed Rulemaking
Regarding Securities Registration Renewal Applications**

September 28, 2015

As you are on our interested persons list, we are writing to inform you that the Securities Division has proposed new rules to codify the filing requirements for securities registration renewal applications. The Securities Division frequently receives inquiries from securities issuers and attorneys asking how to apply for renewal. The creation of rules on this topic for both qualification and coordination filings will provide useful information to securities issuers.

The Notice of Proposed Rule Making (Form CR-102) and the text of the proposed rules are available on our website at <http://dfi.wa.gov/rulemaking/securities-registration-renewal-requirements>.

Written comments on the proposed rules may be submitted to the Securities Division. The Securities Division will hold a hearing on the proposed rules on October 28, 2015 at 10:00 a.m. at the Department of Financial Institutions office in Tumwater, Washington.

If you have any questions regarding the rulemaking, please contact Jill Vallely by telephone at (360) 902-8801 or by e-mail at jill.vallely@dfi.wa.gov.