



STATE OF WASHINGTON  
**DEPARTMENT OF FINANCIAL INSTITUTIONS**  
SECURITIES DIVISION

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**Washington considers proposing amendments to make technical updates to the manual exemption**

October 11, 2016

As you are on our interested persons list, we are writing to inform you that the Securities Division is considering proposing amendments to WAC 460-10A, 460-42A, and 460-44A to make technical updates to the manual exemption, and to update references to securities manuals and rating agencies.

The amendments considered by the Division would more closely align the manual exemption in WAC 460-44A-100 with Section 202(2) of the Uniform Securities Act of 2002, and would update our rules in light of the discontinuation of Standard and Poor's Corporation Records and the availability of information through online sources.

A copy of the Preproposal Statement of Inquiry (Form CR-101) is attached to this message. Once the text of the proposed amendments is drafted, we will publish a notice of proposed rule-making.

Questions concerning this rule-making notice may be directed to Dan Matthews at [dan.matthews@dfi.wa.gov](mailto:dan.matthews@dfi.wa.gov) or (360) 902-8785.

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