

Comments on Rule and Form

The rule and form are very thorough and well thought out. I do have some suggestions.

The rule should address offering notices and should require all offerings to contain notice of the 48 hour right of rescission contained in WAC 460-99C-120.

Rule WAC 460-99C-220(1) should have an additional paragraph (k) dealing with felony convictions for crimes involving dishonesty like fraud, theft, etc. This already appears to be in the Form (#43-44)

It would be nice if WAC 460-99C-210 had a minimum requirement (f) to require disclosure of felony and securities violation to Portals.

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